



## INFORMATIONS PRATIQUES

FORMAT  
**Face to face, Webinar**

MODE DE PARTICIPATION  
**Moody's France  
75008 - Paris  
96 Bd Haussmann**

DATE  
**01/10/2023**

LIEU  
**96 Bd Haussmann  
75008 Paris**

PARTICIPATION  
**€200**

INSCRIPTION  
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# Seminar

## Supervisory impacts on regulation - Basel III - Pilar 2 versus Pilier 1 ?

Supervisory impacts on regulation are not so much pushed forward. However, results only are accountable: they are conditioned by internal and external supervisory quality which needs to be credible to establish a universe of trust and confidence. It is a prerequisite for financial activities. It is essential for European mutual recognition and overcome the temptations of extraterritorial measures.

Supervisory is clearly based on the political regulatory framework fixed by EU legislation and in relations with EBA for banks.

However, the overall prudential framework is also affected by case law developed on the basis of inquiries and penalties, national regulatory and supervisory practices creating « soft law », or even other sources of regulation which could interfere with the law produced by Directives, Rules and Technical texts from the European Regulation Authorities.

The purposes of this seminar are to analyze the balance between the regulatory objective and the direct or indirect impacts of supervision on the prudential framework:

- Articulations between stakeholders involved in supervision
- Place granted to specific characteristics of each stakeholder

...And to encourage the debates on:

- Interrelations and/or legal and prudential frontiers
- Actual legal and regulatory means dedicated to contradiction to supervisory decisions.

### TARGET AUDIENCE

- Banking institutions: functions in the areas of finance, risks, law, compliance, regulatory and public affairs...
- Lawyers and consulting companies
- Regulators and other public Authorities
- The Academic world
- Journalists et all professionals wishing to extend their knowledge in the regulation and banking supervision field.

### OBJECTIFS PÉDAGOGIQUES

- Analysis of frontiers and potential articulations between texts adopted by the Council and Parliament in the banking sector, rules decreed by the European Commission, European Banking Authority ones and European Central Bank practices.
- Determination of Pilar 2 requirements, non-performing loans' measures are namely concerned.
- Understanding of the positions of the European Regulator and

Supervisor and determination of the « best practices »



## PROGRAMME

**9h00 Introduction**

Intervenants: Martine le GALL (Association Europe - Finances - Régulations (AEFR))

**9h35 Search of balance between legislator, regulator and supervisor in an efficient European financial world?**

Intervenants: Pervenche BERES (Association Europe - Finances - Régulations (AEFR))

**10h05 Supervision and Regulation from the European regulator point of view: lessons learnt from some years of practice.**

Intervenants: Isabelle VAILLANT (EBA)

**10h35 Supervision and Regulation: the legal framework of the Single Supervisory Mechanism (SSM)**

Intervenants: Patrick AMIS (European Central Bank (ECB))

**11h05 Regulation – prudential supervisory: sources of uncertainties and risks for the financial industry?**

Intervenants: Véronique ORMEZZANO (Vyge Consulting)

**9h05 Law, regulation, supervision : legal ambiguities How value chains of interpretation and practices minimize uncertainty? Articulations and limits.**

Intervenants: Amelie CHAMPSAUR (Cleary Gottlieb Steen & Hamilton)

**11h35 Participants discussion with speakers**

Intervenants: Martine le GALL (Association Europe - Finances - Régulations (AEFR))

**11h55 Conclusion**

Intervenants: Edouard-François de LENCQUESAING (AEFR)